Analyzing Open-Source Chip Design Ecosystem from an Environmental Sustainability Perspective

> <u>Prof. David Bol</u> and ECS group ECS group, ICTEAM institute, UCLouvain david.bol@uclouvain.be

March, 2023







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LE FONDS EUROPÉEN DE DÉVELOPPEMENT RÉGIONAL ET LA WALLONIE INVESTISSENT DANS VOTRE AVENIR





D. Bol



#### Outline

- Context: exceeded planetary boundaries
- Should the ICT carbon footprint be reduced?
- Moore's Law and the global ICT energy demand
- Open-source chip design ecosystem
- How could we change course ?

## Can we offset the GHG emissions of the ICT sector by avoiding emissions in other sectors ?



#### Avoided GHG emissions

- The claims for ICT:
  - The 10:1 ratio [GSMA, The enablement effect]
     One tonne of CO<sub>2</sub>e emitted by the mobile sector would avoid 10 tonnes of CO<sub>2</sub>e in other sectors.
  - The 15-20% GHG reduction [GeSI, SMARTer2030] Digitalization could reduce emissions in other sectors by up to 20% by 2030.





### Avoided GHG emissions

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  - The 15-20% GHG reduction [GeSI, SMARTer2030] Digitalization could reduce emissions in other sectors by up to 20% by 2030.
- Limitations of these studies:
  - Potential economic bias of the authors
  - Lack of transparency on the hypothesis & baseline
  - Disconnection from existing global scenarios for climate change mitigation
  - Limited scope:

only positive "enabling" impacts are studied





[A. Rasoldier, et al., « How realistic are claims about the benefits of using digital technologies for GHG emissions mitigation? », in LIMITS, 2022]

	Framework fo of digital environ	<u>Disclaimers:</u> - It neglects the indirect impacts of prod. & EoL - The prob/sol	
Prof. Lorenz Hilty	Digital / ICT as part of the problem	Digital / ICT as part of the solution	normative positioning is too restrictive
Technology	Life cycle of ICTs Production Utilization End of life (EoL)	(Not applicable)	1. Direct life-cycle impacts













#### GHG reductions with a stable ICT footprint



## Moore's Law and the pursuit of efficiency cause an increase of the energy demand (lock-in situation in ICT)





### Are chip getting smaller ?



Typical chip products are not getting smaller with CMOS technology scaling despite the increasing transistor density

[T. Pirson, D. Bol, et al., « The Environmental Footprint of IC Production: Meta-Analysis and Historical Trends », in IEEE ESSDERC, 2022]

# Evolution of the environmental impacts (production of electronic components)



[G. Rousshile, T. Pirson, D. Bol, et al., « From Silicon Shield to Carbon Lock-in? The Environmental Footprint of Electronic Components Manufacturing in Taiwan (2015-2020) », arXiv pre-print, 2022]





M. Cooper (ex. Motorola, founder of Arraycom) demonstrated the first cellular phone call on April 3, 1973 with the Motorola DynaTAC  $\rightarrow$  1.1 kg, 35-minute talk time

("longer than you can hold the phone")

#### Cooper's law

Since 1900, wireless communication capacity has doubled every 2.5 year

# Compound annual growth rate (CAGR): +31%/year



More complex modulation = higher efficiency

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#### Evolution of the carbon footprint (mobile Internet networks)

#### Use-phase electricity consumption for access, core and transport networks in Sweden



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GHG emissions in Belgium for RAN [ktCO<sub>2</sub>e/year] (assuming 5G sleep mode)



[Golard, Bol and Louveaux, "Evaluation and projection of 4G and 5G RAN energy footprints: The case of Belgium for 2020–2025", ANTES, 2023]

# Evolution of the carbon footprint (mobile Internet networks)

### Use-phase electricity consumption for access, core and transport networks in Sweden

GWh PB Source: Ericsson 4G (LTE) 500 500 Mobility Report 2015 3G (WCDMA/HSPA) 450 2G (GSM) 400 400 1G (NMT) Data traffic [yearly Petabytes] 350 300 300 250 200 150 100 100 50 0 0 2005 2006 2007 2008 2009 2010 2003 2004 2011 2012 2013 2014

GHG emissions in Belgium for RAN [ktCO<sub>2</sub>e/year] (assuming 5G sleep mode)



4G/5G improved energy efficiency (GB/kWh)

[Golard, Bol and Louveaux, "Evaluation and projection of 4G and 5G RAN energy footprints: The case of Belgium for 2020–2025", ANTES, 2023]

 ... but in absence of regulation (traffic limitation decommissioning), deployment of new technologies increase the network carbon footprint

Law	KPI metric	Physical resource	Efficiency CAGR
Moore Cooper	Transistors Datarate	Silicon wafer RF spectrum	+41% +32%
Koomey	Computations	Electrical energy	+59%

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Log Techn	ology usage (vol	ume in KPI metric)	Time

Law	KPI metric	Physical resource	Efficiency CAGR	Intensity CAGR
Moore	Transistors	Silicon wafer	+41%	-29%
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Log Techn Reso	ology usage (vol urce intensity (e	officiency <sup>-1</sup> )	Absolute resource = Usage × Energy Time GHG e	physical footprint Intensity demand missions

#### Moore's Law and ICT Innovation in the Anthropocene

David Bol, Thibault Pirson and Rémi Dekimpe

Electronic Circuits and Systems group, ICTEAM Institute Université catholique de Louvain, Louvain-la-Neuve, Belgium david.bol@uclouvain.be

#### IEEE DATE conf. 2021

Abstract—In information and communication technologies (ICTs), innovation is intrinsically linked to empirical laws of exponential efficiency improvement such as Moore's law. By following these laws, the industry achieved an amazing relative decoupling between the improvement of key performance indicators (KPIs), such as the number of transistors, from physical

TABLE I				
KEY STUDIES	OF THE GLOBAL	ICT FOOTPRINT.		

Source	Reference	Annual electricity	Annual GHG
	year	consumption <sup>‡</sup>	emissions
	•	[final TWh]	[MTCO <sub>2</sub> e]



#### Rebound effect (Jevons' Paradox)





# <u>Why</u> does the absolute resource footprint increase in ICT ?

## Hypothesis: Escalating Engineering Costs (Economics of Technology)

#### Escalating Engineering Costs



#### Escalating Engineering Costs



- Fact #1: efficiency KPIs are bounded by a physical limit (e.g. atom size)
- Fact #2: easy improvements have been made first ("low-hanging fruits")
   → Getting closer to the limit increases complexity & engineering costs

### Escalating Engineering Costs



- Fact #1: efficiency KPIs are bounded by a physical limit (e.g. atom size)
- Fact #2: easy improvements have been made first ("low-hanging fruits")
   → Getting closer to the limit increases complexity & engineering costs
- Result: in general in ICT, return on investment (RoI) is generated by increasing the sales and production volumes
  - $\rightarrow$  Increases the usage of the physical resource (wafers)

Can we decouple the tech. usage from the environmental footprint ?

Hypothesis : The Impossibility of « Green Growth » (Ecological Economics)
#### The Impossibility of « Green Growth »



#### Green growth = the absolute decoupling of the GDP growth from the ecological footprint

#### A bit of history: the Great Acceleration



[W. Steffen et al, « The trajectory of the Anthropocene: The Great Acceleration », in Anthropocene Review, 2015]

#### A bit of history: the Great Acceleration



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**FSiC 2023** 

#### The Impossibility of « Green Growth »

 Can only be studied at the global world scale because of rebound effects and problem & cost shifting issues
 [Parrique, "Decoupling Debunked – Evidence and Arguments against Green Growth as a Sole Strategy for Sustainability", 2019]



## The Impossibility of « Green Growth »

 Can only be studied at the global world scale because of rebound effects and problem & cost shifting issues [Parrique, "Decoupling Debunked – Evidence and Arguments against Green Growth as a Sole Strategy for Sustainability", 2019]



- Absolute decoupling has never been observed so far at large scale [Jackson, 2009][Parrique, 2019][Hyckel and Kallis, 2020]
- Betting on reaching the carbon neutrality with green growth solely fueled by a massive energy transition is risky





[D. Bol, T. Pirson and R. Dekimpe, IEEE DATE, 2021]



[D. Bol, T. Pirson and R. Dekimpe, IEEE DATE, 2021]



[D. Bol, T. Pirson and R. Dekimpe, IEEE DATE, 2021]

#### Are these worth the cost ?



#### Citizen protest

Water resources: 800 people demonstrate in front of STMicroelectronics in Isère

4/1/2023, 2:08:54 PM



#### Outline

- Context: exceeded planetary boundaries
- Should the ICT carbon footprint be reduced?
- Lock-in situation in electronics: The pursuit of efficiency causes an increase of the ICT energy demand
- Analysis of open-source chip design ecosystem
- How to change course ?

#### D. Bol

## A bit of history again

#### 2000 OpenCores.org

2010 UC Berkeley RISC-V "OS standard" initiative

2013 ETH Zurich PULP research

2015 RISC-V foundation

#### 2019 ARM Design-Start program → ARM academic access program

2020 Google and SkyWater collaboration on OS ASIC prototyping

2021 PICO program from IEEE SSCS

- A. From IC design researchers : (opinions collected from local researchers):
  - Transparency on research results
  - Democratization of chip design activity with OS EDA tools and PDKs
  - Easier system-level design based on OS IP blocks

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  - Attract more students with target of minority inclusion

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  - Easier system-level design based on OS IP blocks
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  - Attract more students with target of minority inclusion
- C. From public institutions (deduced from ["The impact of Open Source ...", report for the EC, 2021])
  - Independency from foreign major EDA and IP vendors
  - Creation of start-ups



#### CHALLENGING INDUSTRY TRENDS



Source: Mike Noonen

#### « This is actually how the industry is going and it needs to be reversed »

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Source: M. Kassem, « 45 Chips in 30 Days: Open Source ASIC **efabless:** at its best! », IEEE SSCD workshop Democratizing IC Design, 2021

- D. From IP providers & EDA tool providers : (hypothesis)
  - Outsource R&D at low cost

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  - Reduce access cost to IP blocks and EDA tools (?)



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  - Outsource R&D at low cost
- E. From fabless chip design companies : (hypothesis)
  - Increase the numbers of engineers to hire by attracting more students in chip design
  - Reduce access cost to IP blocks and EDA tools (?)
- F. From semiconductor foundries : (hypothesis)
  - Increase the demand for chip production from
    new chip products and from larger chips (more IP blocks)











# How could we change course ?





# Socio-ecological transition











within planet boundaries (ecological ceiling)

## Avoiding the collapse

Collapse definition by Prof. Jared Diamond:
 *« a drastic decrease in human population size and/or political/economic/social complexity, over a considerable area, for an extended time. »*



## Avoiding the collapse

• Collapse definition by Prof. Jared Diamond: « a drastic decrease in human population size and/or political/economic/social complexity, over a considerable area, for an extended time. »





- Did not detect/ understand the problem
- Did not act fast/strong enough

## Avoiding the collapse

• Collapse definition by Prof. Jared Diamond: « a drastic decrease in human population size and/or political/economic/social complexity, over a considerable area, for an extended time. »





- Did not detect/ understand the problem
- Did not act fast/strong enough



- Adopted a long-term plan
- Revised fundamentally their cultural and ethical values

Failed



#### Albert Einstein

## We cannot solve our problems with the same thinking we used when we created them.

#### Socio-ecological transition in ICT research



Efficiency (energy and/or resource)

#### Socio-ecological transition in ICT research





Efficiency (energy and/or resource) Post-growth sobriety (strict selection of applications based on <u>clear</u> and <u>demonstrated</u> societal and/or ecological benefits)
#### Socio-ecological transition in ICT research





Efficiency (energy and/or resource) Post-growth sobriety (strict selection of applications based on <u>clear</u> and <u>demonstrated</u>

societal and/or ecological benefits)

Quitting the blind faith in technology (i.e. a dominant ideology called technological utopianism)

#### Concluding open question:

How could Humanity agree on meaningful applications in a <u>fair</u>, <u>democratic</u> and <u>informed</u> (based on science) way ?

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> Thank you! (waiting for the discussion)

This work was supported by the Walloon Region and EU region under FEDER project IDEES and the F.R.S.-FNRS of Belgium.

#### Backup slides

## Life "cycle" of electronic products



[D. Bol, S. Boyd and D. Dornfeld, « Application-aware LCA of semiconductors: life-cycle energy of microprocessors from high-performance 32nm CPU to ultra-low-power 130nm MCU », in Proc. IEEE ISSST, 2011]

#### The carbon footprint of the ICT sector



#### Devices includes TVs and printers, <sup>‡</sup>sources not peer-reviewed

Sources: Malmodin & Lunden, "The Energy and Carbon Footprint of the Global ICT and E&M Sectors 2010–2015", Sustainability, 2018 ; Belkhir & Elmeligi, "Assessing ICT global emissions footprint: trends to 2040 & recommendations", Jour. Clean. Prod., 2018 ; F. Bordage, GreenIT.fr, "Environmental footprint of the digital world", 2019 ; GeSI, "SMARTer 2020: The Role of ICT in Driving a Sustainable Future", 2012.

#### FSiC 2023

# Digital services run on the physical ICT infrastructure



## It's not only about climate and energy



Coltan mine in North Kivu (Congo) Copyright: Stefano Stranges

E-waste generated in 2018: 4M tons =



E-waste informal recycling area in Guiyu (China)

400 ×

(weight)





(weight)

Why do technology engineers aim to improve performance ?

Thesis #1 : The Race to Innovation (Sociology/Anthropology of Technology)

## Thesis #1: the Race to Innovation

- Fact: the "Great Inventions of the 20<sup>th</sup> century" [Gordon, 2018] improved the living standards
- Result: humanity has a <u>deep faith in the social benefits</u>
  <u>of technological progress</u> [Potts, 2018]
- Fact: since 1980, huge capitalization available on the stock market lead to the *financial economy*
- Result: companies compete to attract capitals by promising stock value growth (< speculation) [Krier, 2009][Mundt, 2014][Davis, 2018][Gomez, 2019]

The Race to Innovation is one of the few means for companies to attract capitals [Dallyn, 2011][Gomez, 2019]

# Performance-driven innovation in the financial economy



#### SleepWalker: A 25-MHz 0.4-V Sub-mm<sup>2</sup> 7-μW/MHz Microcontroller in 65-nm LP/GP CMOS for Low-Carbon Wireless Sensor Nodes

David Bol, Member, IEEE, Julien De Vos, Student Member, IEEE, Cédric Hocquet, François Botman, Student Member, IEEE, François Durvaux, Sarah Boyd, Denis Flandre, Senior Member, IEEE, and Jean-Didier Legat, Member, IEEE Key performance

Abstract—Integrated circuits for wireless sensor nodes (WSNs) targeting the Internet-of-Things (IoT) paradigm require ultralow-power consumption for energy-harvesting operation and low die area for low-cost nodes. As the IoT calls for the deploy ject [1]. Such WSNs will feature sensing and computing capabilities with memories, energy management and wireless communication to allow interaction with the cloud. The IoT will en-

	Bol, JSSC, 2013	Myers, VLSIC, 2017	Prabhat, ISSCC, 2020	Lee, JSSC, 2020	Paul, JSSC, 2017	Ambiq, ApolloBlue3, 2019	Salvador, ESSCIRC, 2018	Abouzeid, ESSCIRC, 2015	Uytterhoeven, ESSCIRC, 2018	Lallement, JSSC, 2018	Lallement, SSCL, 2019	Höppner, ESSDERC, 2019	This work
CMOS	65nm	65nm	65nm	55nm	14nm	40nm	90nm	28nm	28nm	28nm	22nm	22nm	28nm
technology	LP/GP	LP	LP	DDC	FinFET	ULP eFlash	ULL eFlash	FDSOI	FDSOI	FDSOI	FDSOI	FDSOI	FDSOI
CPU	oMSP430	CM0+	CM33 SIMD	CM0	x86 IA	CM4F	CM3	CM4F	Zscale	CM0+	CM0+	CM4F	CM0DS
Memory	18kB SRAM	16kB SRAM	128kB ROM + 20kB RAM	8kB SRAM	16kB ROM + 80kB SRAM	384kB SRAM + 1MB Flash	32kB SRAM + 256kB Flash	16kB SRAM	64kB SRAM	8kB SRAM	12kB SRAM	84kB SRAM	64kB SRAM
Closed-loop PVT compensation	UFVR (AVS)	AFS	UFVR (AVS)	AVS+ABB with MEP tracking	×	N/A	UFVR (AVS)	×	×	×	Limited ABB	ABB	UFBR (ABB)
Embedded PM	✓	✓	√	✓	×	✓	✓	×	×	×	×	×	✓
Max. frequency at MEP supply [MHz]	32	0.2	0.8	5	3.5	96	16	45	66	16	20	180	80
Active power	6.1	7.6	20	6.4	27*	32.8+	23	8.9	8.8	2.7	1.13	6.9	3.3
at MEP [µW/MHz]	@25 MHz	@0.2 MHz	@4 MHz	@0.5 MHz	@3.5 MHz	@48 MHz	@5 MHz	@45 MHz	@22 MHz	@16 MHz	@20 MHz	@180 MHz	@40 MHz
Peak efficiency	~ 66*	180	95	× (not	~ 74*	58†	82	215	126	× (not	841	278	385
[DMIPS/mW]	(10 DMIPS)	(0.24 DMIPS)	(7.6 DMIPS)	enough RAM)	(7 DMIPS)	(93 DMIPS)	(9 DMIPS)	(86 DMIPS)	(24 DMIPS)	enough RAM	(19 DMIPS)	(344 DMIPS)	(51 DMIPS)
Logic state retention in deep sleep mode	×	~	×	N/A	~	~	√	N/A	N/A	×	~	~	~
Deep-sleep retention	95‡	16	2.5‡	-	79	220+ (8 kB RAM)	4.3		121	308	> 548°	131	
power [nW/kB]	(18kB RAM)	(4kB RAM)	(4kB RAM)		(80 kB RAM)	17† (384kB RAM)	(8kB RAM)		-	(8kB RAM)	(12kB RAM)	(84 kB RAM)	(64 kB RAM)
Wake-up time	30 µs	N/A	180 µs	-	> 1 ms	15 µs	N/A	-	-	N/A	N/A	N/A	< 20 µs

## <u>How</u> do we increase technology affluence ?

# Thesis #3 : Questionable Growth Strategies (Economics)

## **Economic Growth Perspectives**





Prof. Robert J. Gordon

- Economic growth was enabled by the "Great Inventions of the 20<sup>th</sup> Century" (combustion engine, water system, electricity)
- To continue the 2% historic GDP growth, future innovations should be as fundamental as the "Great Inventions from the 20<sup>th</sup> century"
- → KO: the 20<sup>th</sup>-century growth is a one-off episode in the history of humanity [Gordon, 2018], ("Secular Stagnation" concept)

#### Growth Strategies in ICT



• Strategy A: Addiction mechanisms

#### Growth Strategies in ICT

#### Yesterday





#### Incredible Outdated

- Strategy A: Addiction mechanisms
- Strategy B: Obsolescence generation

## Growth Strategies in ICT



- Strategy A: Addiction mechanisms
- Strategy B: Obsolescence generation
- Strategy C: Creation of artificial needs

# The socio-ecological transition initiative in the UCLouvain ECS group



## Our pillars

(inspired by the Transition Town movement)

Environment preservation

Systematic footprint analysis of the projects Direct: LCA of the circuits and systems Indirect: application

#### Sobriety

Strict selection of a limited set of applicative projects we pursue, chasing potential app. detours

#### Local organization

Prioritize local field actors+ integrate the transitionin local university courses

#### Social link

Participative governance + transdisciplinary work (connection with field actors)

#### Resiliency

Open-source HW/SW ? Low-tech ?

#### Example A: implanted electronics

Before: chips for treating epilepsy with <u>closed-loop</u> <u>deep brain stimulation</u> (DBS)



- ✓ Societal benefit (global health)
- ✓ Low absolute ecological footprint
- × High risk of detour
  (augmented human)

Now: chips for treating epilepsy with <u>closed-loop vagus nerve</u> <u>stimulation</u> (VNS)





[R. Dekimpe and D. Bol, « Mixed-Signal Compensation of Tripolar Cuff Electrode Imbalance in a Low-Noise ENG Analog Front-End », ESSCIRC, 2022]

- ✓ Societal benefit (global health)
- ✓ Low absolute ecological footprint
- Limited risk of detour
- Technological challenge: very low signal level

Workshop on Critical Embedded Systems

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## Example B: vision systems

#### Before: ultra-low-power chips for motion detection and face recognition



[Bol, Symp. VLSI, 2014] [Couniot, JSSC, 2015, [Lefebvre, ISSCC, 2021]

- ✓ Low <u>relative</u> ecological footprint
- No social benefit (futile applications)
- High risk of detour
  (surveillance capitalism)

#### Now: ultra-low-power system for capsule endoscopy



- ✓ Low <u>relative</u> ecological footprint
- ✓ Limited risk of detour
- Technological challenge: high diagnosis yield at low power
- Non technological challenge: high acceptance rate by the patients

## Example C: IoT sensors

Before: ultra-low-power miniature batteryless solarpowered <u>voice recognition</u>



- ✓ Direct footprint reduction
- × Questionable need
- × High risk of rebound & detour

Now: large-scale <u>detection</u> <u>of abnormal events in natural</u> <u>ecosystems</u> (wildfires, illegal poaching, sawing, biodiversity)



- ✓ Limited risk of detour
- ✓ <u>Indirect</u> ecological benefit
- Technological challenge: low absolute <u>direct</u> footprint

[P. Maistriaux, D. Bol et al, "Modeling the Carbon Footprint of Battery-Powered IoT Sensor Nodes for Environmental-Monitoring Applications », IoT, 2022]

## Example D: wireless communications

#### Before: <u>UWB radio chips</u> for batteryless IoT smart sensors

- ✓ Low <u>relative</u> footprint
- Risk of need creation (futile applications)
- × High risk of rebound effect

Now: <u>reducing the carbon</u> footprint of mobile Internet access



"Evaluation and projection of 4G and 5G RAN energy footprints: The case of Belgium for 2020–2025", Springer ANTE, 2022]

- Ecological benefit: reduction of <u>absolute</u> footprint (no rebound)
  - ✓ "Need" is already there
  - Holistic challenge:

manage network saturation

Workshop on Critical Embedded Systems



Digital transformation and planetary boundaries

## What if re-orienting research is not possible ?



Quitted the following research directions:

- Neuromorphic circuit design –
  Applications are speculative with a high potential of detour
- Simultaneous wireless information & power transfer (SWIPT) At 3-5m range, the carbon footprint over the life-cycle is 10× higher than using coin cell batteries

Post-growth approach = being open to degrowth

## Conclusions (1/2)

- Context:
  - the 20<sup>th</sup>-century lead to the exceedance of several planet boundaries
  - ICTs account for 2-4% of global GHG emissions
- Fact #1: ICT research and innovation are driven by empirical efficiency improvement laws (e.g. Moore's law)
- Fact #2: The follow-up of these laws is correlated in time with an increase of the absolute footprint of ICT
- Thesis: causal rebound effect (Jevons' Paradox): the pursuit of efficiency in ICT led to the increase of its environmental footprint through vicious socioeconomic dynamics in the growth economy context
- Corollary: Designing energy-efficient chips is useless if we keep on deploying more chips

## Conclusions (2/2)

- Hoping to effectively reduce the absolute ecological footprint of humanity to avoid a global collapse, we first have to depart from the <u>blind faith</u> in the automatic benefits of technological progress
  → No innovation for the sake of innovation
- The 21<sup>st</sup>-century Anthropocene urgently calls for a transition by complementing the pursuit of <u>efficiency with sobriety</u>, restricting R&I to applications with socio-ecological benefit (clearly demonstrated)
- Open (non technological) question: how could Humanity agree on meaningful applications in a fair, democratic and informed/science-based way ?